

## Appendix 1

### CONDITIONS OF CERTIFICATION

Scheme:	ISO 9001:2015		
Certificate No:	205921-2016-AQ-AUS-JAS-ANZ		
Client & Certificate Holder:	Griffin Marine Services Pty Ltd		
Address:	37 James Craig Road Rozelle NSW 2039 Australia		
Initial Certification Date:	25.08.2016	Re-certification Date:	25.08.2019

The Conditions of Certification are as stated in the following sections of the DNV GL Business Assurance Australia Pty Ltd (DNV GL) Certification System Manual as amended from time to time.

Section 16 – Use of Certification and Accreditation Marks

Section 17 – Issue and Maintenance of Certification

Section 19 – Suspension and Withdrawal of Certification

#### General:

1. The certificate holder shall ensure that its certified Management System(s) is/are maintained in accordance with the requirements of ISO 9001:2015.
2. The certificate holder shall keep DNV GL informed of any significant changes in its certified Management System(s), any conviction under any environment Acts for EMS certification or OHS Acts for OHS certification or any other conditions as applicable, which may adversely affect the performance of the certificate holder's certified Management System(s).
3. The certificate holder shall give DNV GL admittance and assistance in the auditing and surveillance of:
  - the certified Management System(s) documentation, including procedures and work instructions and its implementation;
  - the production processes, equipment, execution of services, facilities and personnel covered by this certification;

- raw materials, semi-finished products, finished products and procured services;
- Management System records specific to the certified Management System(s), including as appropriate monitoring records, communications from relevant regulatory authorities, accident and incident reports, licence breaches, test reports, failure reports, customer complaints and statistics;
- the use of certification marks.

### **Maintenance of Certification:**

4. In order to maintain certification, the following conditions must be fulfilled:

DNV GL must:-

- perform periodical assessments in accordance with the agreed schedule; and
- periodically confirm that certification should be continued.

Client organisations must:-

- maintain the Management System in accordance with the relevant standard;
- use logos and marks in accordance with the documented requirements;
- comply with the accreditation requirements as advised to you from time to time by DNV GL;
- have periodical assessments as agreed with DNV GL;
- implement all corrective action as agreed with DNV GL for multi-site certification, each corrective action is to be implemented at all sites as appropriate;
- pay all fees;
- advise DNV GL of any changes to Client system, organisation or activities that may affect certification;
- maintain a record, accessible to DNV GL of all customer complaints, environmental and safety monitoring records and corrective action relating to the certified Management System(s);
- for multisite organisations: advise DNV GL within one month of the closure of any certified site. failure to meet this requirement can be regarded as misuse of the certificate;

- for multisite organisations: maintain central control or administration of the planning, management review, complaints, internal audit and evaluation of corrective action functions; and
  - for multisite organisations where site sampling is undertaken: ensure that all sites in the sample group continue to supply products/services that are substantially the same using methods and procedures that are fundamentally the same.
5. DNV GL may conduct additional assessments to maintain Client certification if Client change your scope of work or if DNV GL are advised of significant problems with Client Management System.
  6. Verification of compliance of certified organisations with changed DNV GL requirements shall be achieved through either special audits or by inclusion in the next periodical audit as authorised by the DNV GL Governing Board.

### **Certification Mark:**

7. The certificate holder may use the Certification Mark, corresponding to the certified Management System(s) only while Certification remains valid.
8. The certificate holder shall be entitled to use the DNV GL Certification Mark (when so authorised) in accordance with the rules for the use of the DNV GL Certification/ Accreditation Marks. These marks may not be used in an unauthorised manner, nor imply product conformity.
9. Use or misuse of the Certificate and of the work performed by DNV GL leading to the issue of the Certificate shall be at the certificate holder's sole risk.
10. The certificate holder shall ensure that:
  - it, in no respect, misleads or claims certification for operations or sites not included within the scope shown on the certificate;
  - the Certification Mark or other reference to the relevant Management System(s) certification is not used on any product specific material or packaging, unless authorised under a Product Certification scheme;
  - The certification certificate issued in no way implies that the product or service certified is approved by the Joint Accreditation System of Australian and New Zealand (JAS-ANZ) or any Australian or New Zealand Government Minister.
11. The Certification Mark must only be shown in standard size and design. Standard size and design may be obtained from DNV GL on request.

## Suspension and withdrawal of certification

12. If the certificate holder fails to meet any of the conditions of certification listed in this appendix, DNV GL shall be entitled to suspend or withdraw the certificate as outlined in the applicable Management System Certification scheme and DNV GL Certification System Manual.
13. Suspension and withdrawal of certification will be considered if the Client:-
  - fails to implement agreed corrective actions;
  - fails to maintain the certified system;
  - violates the terms of the signed agreement; or
  - incorrectly uses the Certification Mark.
14. Reissue of the Certificate can be considered when the deficiencies that led to suspension or withdrawal have been corrected.
15. Logos and Certification Marks shall not be used while a certificate is suspended or after withdrawal of a certificate. Upon written request from a member of the public, limited information will be provided regarding certification granted, suspended or withdrawn.